

# Rigidity of toric varieties arising from graphs

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## Edge ideals

Let  $G$  be a bipartite graph with its vertex set as  $V(G)$  and its edge set as  $E(G)$ . One defines the *edge ring* associated to  $G$  as

$$\text{Edr}(G) := \mathbb{C}[t_i t_j \mid (i, j) \in E(G), i, j \in V(G)].$$

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Consider the surjective ring morphism

$$\begin{aligned} \mathbb{C}[x_e \mid e \in E(G)] &\rightarrow \text{Edr}(G) \\ x_e &\mapsto t_i t_j \end{aligned}$$

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The kernel  $I_G$  of this morphism is called the *edge ideal*. The associated toric variety to the graph  $G$  is denoted by

$$\text{TV}(G) := \text{Spec}(\mathbb{C}[x_e \mid e \in E(G)]/I_G) = \text{Spec}(\mathbb{C}[\sigma_G^\vee \cap M])$$

where  $\sigma_G^\vee$  is called the (dual) edge cone.

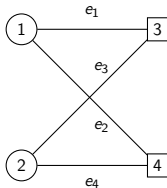
# Edge ideals

## Example

Let  $G = K_{2,2}$  be the complete connected bipartite graph.

$$\mathbb{C}[x_1, x_2, x_3, x_4] \rightarrow \text{Edr}(K_{2,2})$$

The edge ideal  $I_G$  is generated by the binomial  $x_1x_4 - x_2x_3$ . The three-dimensional cone  $\sigma_G^\vee \subset M_{\mathbb{R}} \cong \mathbb{R}^4 \cap (1, 1, -1, -1)^\perp$  associated to the toric variety  $\text{TV}(G)$  is generated by the extremal rays  $[1, 0, 0, 1]$ ,  $[1, 0, 1, 0]$ ,  $[0, 1, 1, 0]$  and  $[0, 1, 0, 1]$ .



# Edge polytopes

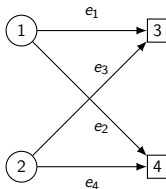
Let  $G = (V(G), A(G))$  be a finite directed graph on the vertex set  $V(G) = [n] := \{1, \dots, n\}$  with the directed edge set  $A(G)$ . For a directed edge  $e = (i, j)$  of  $G$ , we define  $\rho(e) \in \mathbb{R}^n$  by setting  $\rho(e) = \mathbf{e}_i - \mathbf{e}_j$ .



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$$\mathcal{A}_G = \text{conv}\{\rho(e) : e \in A(G)\} \subset \mathbb{R}^n.$$



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# What is a deformation of an algebraic variety?

Let  $X$  be a scheme of finite type over  $\mathbb{C}$  and let  $A$  be an Artinian algebra over  $\mathbb{C}$ . An infinitesimal deformation of  $X$  over  $A$  is defined as the following cartesian diagram:

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Let  $Def_X$  be a functor such that  $Def_X(A)$  is the set of deformations of  $X$  over  $\text{Spec}(A)$  modulo isomorphisms.

# What is rigidity?

## Definition

The map  $\pi$  is called a first order deformation of  $X_0$  if  $S = \text{Spec}(\mathbb{C}[\epsilon]/(\epsilon^2))$ . We set  $T_{X_0}^1 := \text{Def}_{X_0}(\text{Spec}(\mathbb{C}[\epsilon]/(\epsilon^2)))$ .

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i.e. every deformation  $\pi \in \text{Def}_{X_0}(A)$  over a Artin ring  $A$  is isomorphic to the trivial deformation  $X_0 \times \text{Spec}(A) \rightarrow \text{Spec}(A)$ .



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# Setup for the first order deformations

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- Fix a deformation degree  $R \in M$ .
- Let  $\sigma = \text{Cone}(a_1, \dots, a_n) \subseteq N_{\mathbb{R}}$ .

Consider the following affine space

$$[R = 1] := \{a \in N_{\mathbb{Q}} \mid \langle R, a \rangle = 1\} \subseteq N_{\mathbb{Q}}.$$

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- The *crosscut* of  $\sigma$  in degree  $R$ :  $Q(R) := \sigma \cap [R = 1]$  in the assigned vector space  $[R = 0]$ .

# Setup for the first order deformations

- For each two-dimensional compact face of  $Q(R)$  assign sign vectors  $\epsilon_i$  on the compact edges  $d^i$  such that the oriented edges form a cycle

$$\sum_{i \in [N]} \bar{\epsilon}_i d^i = 0$$

.

- Define the related vector space to a deformation degree  $R \in M$  as

$$V(R) = \{ \bar{t} = (t_1, \dots, t_N) \in \mathbb{C}^N \mid \sum_{i \in [N]} t_i \bar{\epsilon}_i d^i = 0, \forall \epsilon \leq Q(R) \}.$$

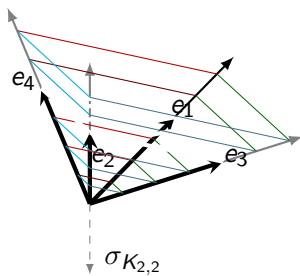
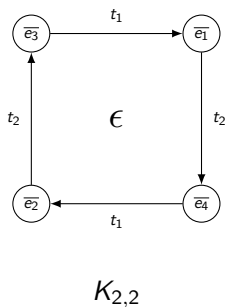
# Setup for the first order deformations

## Example (continued)

Let us consider the dual edge cone

$\sigma_{K_{2,2}}^\vee = \text{Cone}([1, 0, 0, 1], [1, 0, 1, 0], [0, 1, 1, 0], [0, 1, 0, 1]) \subset M_{\mathbb{R}}$  from the previous example. We calculate  $\sigma_G = \text{Cone}(e_1, e_2, e_3, e_4) \subset N_{\mathbb{R}}$ . For the deformation degree  $R = [1, 1, 1, 1] \in M$ , we obtain the compact crosscut  $Q(R) = \text{conv}(e_1, e_2, e_3, e_4)$ .

# Setup for the first order deformations



$$V(R) = \{(t_1, t_2, t_1, t_2) \in \mathbb{C}^4 \mid \sum_{i \in [4]} t_i \bar{e}_i d^i = 0\}.$$



# Formula for $T_{X_0}^1$

## Theorem (Corollary 2.7, [Alt00])

*If the affine normal toric variety  $X_0$  is smooth in codimension 2, then  $T_{X_0}^1(-R)$  is contained in  $V(R)/\mathbb{C}(1, \dots, 1)$ . Moreover, it is built by those vectors  $\bar{t}$  satisfying  $t_{ij} = t_{jk}$  where  $\bar{a}_j$  is a non-lattice common vertex in  $Q(R)$  of the edges  $d^{ij} = \overline{a_i a_j}$  and  $d^{jk} = \overline{a_j a_k}$ .*

# Formula for $T_{X_0}^1$

## Example (continued)

We obtain that  $T_{\text{TV}(K_{2,2})}^1([1, 1, 1, 1]) = \{(t_1, t_2, t_1, t_2) \in \mathbb{C}^4\} / \mathbb{C}(1, 1, 1, 1)$  and  $T_{\text{TV}(K_{2,2})}^1 \neq 0$ . Hence the toric variety  $\text{TV}(K_{2,2})$  is not rigid.

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## Remark

For the application of this theorem, we need an explicit description of the faces of the edge cone  $\sigma_G \subset N_{\mathbb{R}}$  (in particular extremal rays, two and three faces). It is not straightforward from the construction of  $\text{TV}(G)$  as in the case for the dual edge cone  $\sigma_G^{\vee} \subset M_{\mathbb{R}}$ .

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# Faces of the edge cone $\sigma_G$ via graphs

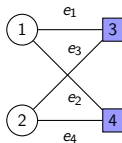
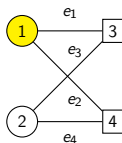
Any proper face of  $\sigma_G$  can be characterized via subgraphs arising from so-called *first independent sets* of  $G$ . Let us first consider the 1-faces (extremal rays) of  $\sigma_G$ .

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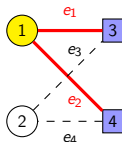
- A nonempty subset  $A$  of  $V(G)$  is called an *independent set* if it contains no adjacent vertices.
- The *neighbor set* of  $A \subseteq V(G)$  is defined as

$$N(A) := \{v \in V(G) \mid v \text{ is adjacent to some vertex in } A\}.$$



# First independent sets of $G$ and the extremal rays of $\sigma_G$

- The *associated subgraph*  $G\{A\}$  is defined as the spanning induced subgraph of  $A \cup N(A)$ .  
Example :  $G\{A\} = G[A \cup N(A)] \cup \{2\}$ .

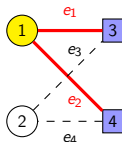


The first independent sets of  $G$  are

$\mathcal{I}_G^{(1)} := \{\text{Two-sided maximal independent sets and one-sided independent sets } U_i \setminus \{\bullet\} \text{ where their associated graph has two connected components}\}$

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Theorem ([Por21], Theorem 2.8)

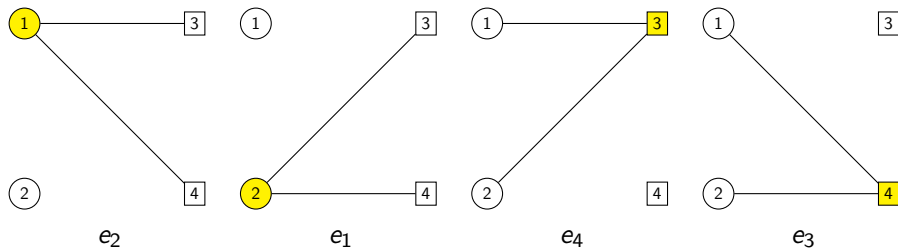
$$\begin{aligned} \pi: \mathcal{I}_G^{(1)} &\longrightarrow \sigma_G^{(1)} \\ A &\mapsto \mathfrak{a} := (\mathcal{H}_{A_i} \cap \sigma_G^\vee)^* \end{aligned}$$

for a fixed  $i \in \{1, 2\}$  with  $A_i \neq \emptyset$ .



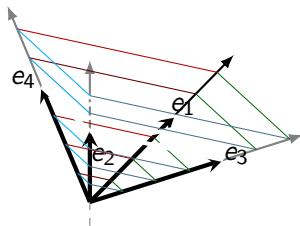
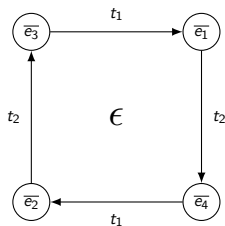
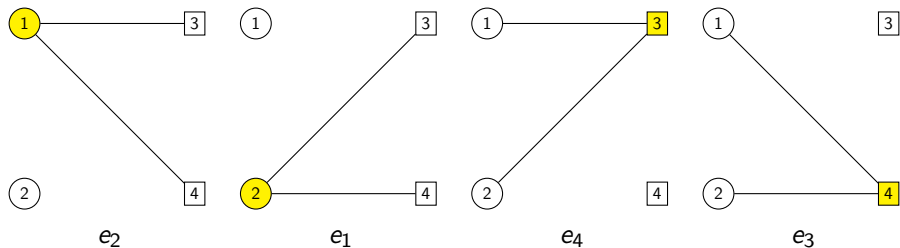
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Example (continued)



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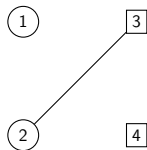
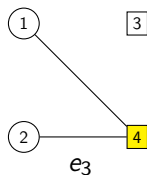
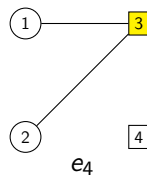
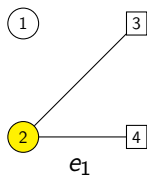
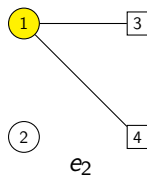
# Faces of $\sigma_G$ via first independent sets

Theorem ([Por21], Theorem 2.18)

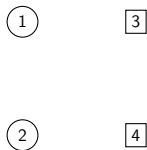
*Let  $S \subseteq \mathcal{I}_G^{(1)}$  be a subset of  $d$  first independent sets and let  $\pi$  be the bijection from the previous theorem. The extremal ray generators  $\pi(S)$  span a face of dimension  $d$  if and only if  $G[S] := \bigcap_{A \in S} G\{A\}$  has  $d + 1$  connected components.*

Faces of  $\sigma_G$  via first independent sets

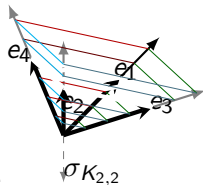
## Example (continued)



$e_1$  and  $e_4$  span a 2-face



$e_1$  and  $e_2$  do not span a 2-face



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## Theorem

*Let  $G \subseteq K_{m,n}$  be a connected bipartite graph and let  $\tau \leq \sigma_G$  be a three-dimensional non-simplicial face of the edge cone  $\sigma_G$ . Then  $\tau$  is spanned by four extremal rays and  $\text{TV}(G)$  is not rigid.*



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### Example (continued)

The edge cone  $\sigma_{K_{2,2}}$  has a non-simplicial three dimensional face and hence  $\text{TV}(K_{2,2})$  is not rigid.

# Some criteria for rigidity in terms of graphs

## Theorem

Let  $G \subsetneq K_{m,n}$  be a connected bipartite graph with exactly one two-sided first independent set  $A = A_1 \cup A_2 \in \mathcal{I}_G^{(1)}$  with  $A_1 \subset U_1$  and  $A_2 \subset U_2$ . Then

- 1  $\text{TV}(G)$  is not rigid, if  $|A_1| = 1$  and  $|A_2| = n - 2$  or  
if  $|A_1| = m - 2$  and  $|A_2| = 1$ .
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## Example

Let  $G \subset K_{4,4}$  be the connected bipartite graph obtained by one edge removal from the complete bipartite graph  $K_{4,4}$ . Then  $\text{TV}(G)$  is rigid.

- This is a generalization of the result by Bigdeli, Herzog, Lu in [BHL15].
- Check <https://github.com/iimportakal> for a function which receives the dual edge cone and outputs the information about rigidity of the associated toric variety.

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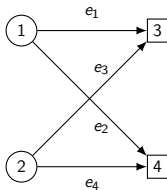
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# Edge polytopes

Let  $G = (V(G), A(G))$  be a finite directed graph on the vertex set  $V(G) = [n] := \{1, \dots, n\}$  with the directed edge set  $A(G)$ . For a directed edge  $e = (i, j)$  of  $G$ , we define  $\rho(e) \in \mathbb{R}^n$  by setting  $\rho(e) = \mathbf{e}_i - \mathbf{e}_j$ . The *directed edge polytope* of  $G$ , denoted by  $\mathcal{A}_G$ , is the lattice polytope defined as

$$\mathcal{A}_G = \text{conv}\{\rho(e) : e \in A(G)\} \subset \mathbb{R}^n.$$



# Fano polytopes

Let  $\mathcal{P} \subset \mathbb{R}^n$  be a full-dimensional lattice polytope.

- We say that  $\mathcal{P}$  is a *Fano* if the origin of  $\mathbb{R}^n$  belongs to the interior of  $\mathcal{P}$  and the vertices of  $\mathcal{P}$  are primitive lattice points in  $\mathbb{Z}^n$ .
- A Fano polytope  $\mathcal{P}$  is called *terminal* if every lattice point on the boundary is a vertex.
- A Fano polytope  $\mathcal{P}$  is said to be *reflexive* if each facet of  $\mathcal{P}$  has lattice distance one from the origin. Equivalently, its dual polytope

$$\mathcal{P}^\vee = \{x \in \mathbb{R}^n : \langle \mathbf{x}, \mathbf{y} \rangle \geq -1 \text{ for all } y \in \mathcal{P}\}$$

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- A Fano polytope is called  *$\mathbb{Q}$ -factorial* if it is simplicial.
- A Fano polytope is called *smooth* if the vertices of each facet form a  $\mathbb{Z}$ -basis of  $\mathbb{Z}^n$ .



# Edge polytopes

Proposition ([HHMNO11, Proposition 3.2])

*Let  $G$  be a finite directed graph. Then the following arguments are equivalent:*

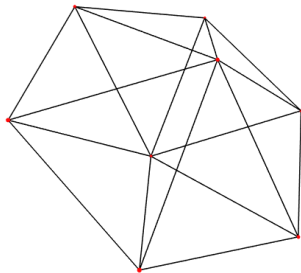
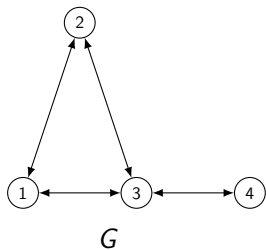
- 1  $\mathcal{A}_G$  is Fano;
- 2  $\mathcal{A}_G$  is terminal reflexive;
- 3 Every directed edge of  $G$  belongs to a directed cycle in  $G$ .

*In this case,  $X_G$  is a Gorenstein toric Fano variety with terminal singularities.*

Notation: For a Fano polytope  $\mathcal{A}_G$ , denote  $X_G$  the normal toric variety associated to the spanning fan of  $\mathcal{A}_G$ .

# Symmetric edge polytope

## Example



Theorem ([Hig15, Theorem 2.2 and Corollary 2.3])

Let  $G$  be a finite symmetric directed graph on  $[n]$ . Then the following arguments are equivalent:

- 1  $X_G$  is smooth;
- 2  $X_G$  is  $\mathbb{Q}$ -factorial;
- 3  $G^{\text{un}}$  has no even cycle as subgraphs.

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(Bien and Brion, 1996) proved that every smooth toric Fano variety is rigid.  
(de Fernex and Hacon, 2011) proved the rigidity of  $\mathbb{Q}$ -factorial terminal toric Fano varieties.

# Rigidity for Gorenstein toric Fano varieties

The most general rigidity theorem for toric Fano varieties known to this date is the following result of Totaro:

Theorem ([Tot12, Theorem 5.1])

*A toric Fano variety which is smooth in codimension 2 and  $\mathbb{Q}$ -factorial in codimension 3 is rigid.*

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**Theorem ([Tot12, Theorem 5.1])**

*A toric Fano variety which is smooth in codimension 2 and  $\mathbb{Q}$ -factorial in codimension 3 is rigid.*

If  $\mathcal{P}$  is Fano polytope, then  $X_{\mathcal{P}}$  satisfies this condition if and only if all 2-faces of  $\mathcal{P}$  are triangles and each edge of  $\mathcal{P}$  has lattice length 1 and is contained in some hyperplane which has height 1 with respect to the origin, then  $X_{\mathcal{P}}$ .

## Lemma (Kara, P., Tsuchiya, 2021)

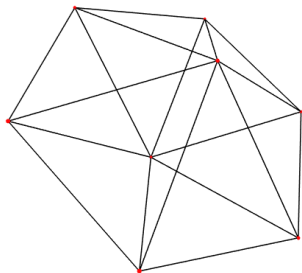
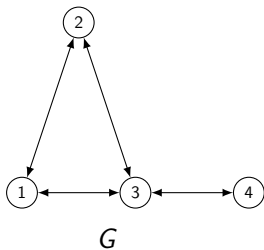
*Let  $G$  be a symmetric directed graph. If the underlying graph of  $G$  has no  $C_4$  (cycle graph with 4 vertices) as a subgraph, then  $\mathcal{A}_G$  has all triangle 2-faces and hence  $X_G$  is rigid.*

## Lemma (Kara, P., Tsuchiya, 2021)

Let  $G$  be a symmetric directed graph. If the underlying graph of  $G$  has no  $C_4$  (cycle graph with 4 vertices) as a subgraph, then  $\mathcal{A}_G$  has all triangle 2-faces and hence  $X_G$  is rigid.

### Example (continued)

The Gorenstein toric Fano variety  $X_G$  is rigid, since  $G$  has a no  $C_4$  as a subgraph equivalently  $\mathcal{A}$  has a square 2-face.





Thank you for your time! 🍊



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